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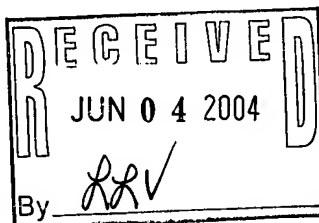


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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/801,420	03/16/2004	Charu C. Aggarwal	YOR920040039US1

Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560



CONFIRMATION NO. 2046

FORMALITIES LETTER



OC000000012845191

Date Mailed: 06/02/2004



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents

06/09/2004 MMEKONEN-00000097 500510 ~~10801420~~ P.O. Box 1450
01 FC:1051 ~~130.00-DA~~ Alexandria VA 22313-1450

DOCKETED
RECEIVED: <u>6/4/04</u>
DUE: <u>8/2/04</u>
BY: <u>Jaw</u>

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): C.C. Aggarwal et al.
Docket No.: YOR920040039US1
Serial No.: 10/801,420
Filing Date: March 16, 2004
Group: 2171
Examiner: To Be Assigned

"Express Mail" Label No. EV312779873US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: June 4, 2004

Signature: Lisa L. Chulpis

Title: Methods and Apparatus for Data Stream
Clustering for Abnormality Monitoring

TRANSMITTAL OF MISSING PARTS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts dated June 2, 2004, Applicants submit herewith the following document to complete the above-referenced patent application:

(1) Declaration and Power of Attorney.

A copy of the Notice is enclosed herewith. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Date: June 4, 2004

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